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Packet No.

4654 U.S.

0003JP-3

# UTILITY PATENT APPLICATION TRANSMITTAL (Large Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Total Pages in this Submission

TO THE ASSISTANT COMMISSIONER FOR PATENTS

Box Patent Application  
Washington, D.C. 20231

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:

"NOVEL FOAMING COMPOSITIONS AND METHODS FOR MAKING AND USING THE COMPOSITIONS"

and invented by:

JEFFREY T. PACHL AND DONALD W. TAYLOR AND JAMES W. FREITAG

PRO  
618 U.S.  
09/300930  
04/28/99

If a CONTINUATION APPLICATION, check appropriate box and supply the requisite information:  
 Continuation  Divisional  Continuation-in-part (CIP) of prior application No.: 09/197,124

Which is a:

Continuation  Divisional  Continuation-in-part (CIP) of prior application No.: 09/081,967

Which is a:

Continuation  Divisional  Continuation-in-part (CIP) of prior application No.: 09/081,967

Enclosed are:

## Application Elements

1.  Filing fee as calculated and transmitted as described below

pages and including the following:

2.  Specification having 55

- a.  Descriptive Title of the Invention
- b.  Cross References to Related Applications (if applicable)
- c.  Statement Regarding Federally-sponsored Research/Development (if applicable)
- d.  Reference to Microfiche Appendix (if applicable)
- e.  Background of the Invention
- f.  Brief Summary of the Invention
- g.  Brief Description of the Drawings (if drawings filed)
- h.  Detailed Description
- i.  Claim(s) as Classified Below
- j.  Abstract of the Disclosure

# UTILITY PATENT APPLICATION TRANSMITTAL (Large Entity)

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Docket No.  
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## Application Elements (Continued)

3.  Drawing(s) (when necessary as prescribed by 35 USC 113)

a.  Formal Number of Sheets  
b.  Informal Number of Sheets

4.  Oath or Declaration

a.  Newly executed (original or copy)  Unexecuted  
b.  Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional application only)  
c.  With Power of Attorney  Without Power of Attorney

d.  DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application,  
see 37 C.F.R. 1.63(d)(2) and 1.33(b).

5.  Incorporation By Reference (usable if Box 4b is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under  
Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby  
incorporated by reference therein.

6.  Computer Program in Microfiche (Appendix)

7.  Nucleotide and/or Amino Acid Sequence Submission (if applicable, all must be included)

a.  Paper Copy  
b.  Computer Readable Copy (identical to computer copy)  
c.  Statement Verifying Identical Paper and Computer Readable Copy

## Accompanying Application Parts

8.  Assignment Papers (cover sheet & document(s))

9.  37 CFR 3.73(B) Statement (when there is an assignee)

10.  English Translation Document (if applicable)

11.  Information Disclosure Statement/PTO-1449  Copies of IDS Citations

12.  Preliminary Amendment

13.  Acknowledgment postcard

14.  Certificate of Mailing

First Class  Express Mail (Specify Label No.):

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P01ULRG/REV04

# UTILITY PATENT APPLICATION TRANSMITTAL

## (Large Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.  
OB003JP-3

Total Pages in this Submission

### Accompanying Application Parts (Continued)

15.  Certified Copy of Priority Document(s) (if foreign priority is claimed)

16.  Additional Enclosures (please identify below):

FEET TRANSMITTAL PTO/SB/17

### Fee Calculation and Transmittal

#### CLAIMS AS FILED

For	#Filed	#Allowed	#Extra	Rate	Fee
Total Claims	20	- 20 =	0	x \$22.00	\$0.00
Indep. Claims	3	- 3 =	0	x \$82.00	\$0.00

Multiple Dependent Claims (check if applicable)

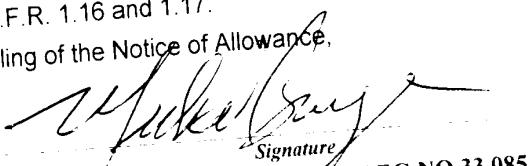
**BASIC FEE** \$760.00

\$0.00

#### OTHER FEE (specify purpose)

**TOTAL FILING FEE** \$760.00

- A check in the amount of \$760.00 to cover the filing fee is enclosed.
- The Commissioner is hereby authorized to charge and credit Deposit Account No. 15-0680 as described below. A duplicate copy of this sheet is enclosed.
- Charge the amount of \_\_\_\_\_ as filing fee.
- Credit any overpayment.
- Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.
- Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).

  
Signature

MICHAEL K. BOYER - USPTO REG NO 33,085  
ATTORNEY FOR APPLICANTS  
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email: mboyer@orscheln.com

Dated: 28 APRIL 1999

cc:

**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**

Applicant(s): **JEFFREY T. PACHL ET AL.**

Docket No.

**OB003JP-3**

Serial No.  
**UNKNOWN**

Filing Date  
**28 APRIL 1999**

Examiner  
**M. FOELAK**

Group Art Unit  
**1711**

Invention: "NOVEL FOAMING COMPOSITIONS AND METHODS FOR MAKING AND USING THE  
COMPOSITIONS"

I hereby certify that this **NEW NON-PROVISIONAL PATENT APPLICATION**  
(Identify type of correspondence)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under  
37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on  
**28 APRIL 1999**

(Date)

**MICHAEL K. BOYER - USPTO REG NO 33,085**

(Typed or Printed Name of Person Mailing Correspondence)

*Michael K. Boyer*  
(Signature of Person Mailing Correspondence)

**EL056399615US**

("Express Mail" Mailing Label Number)

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# FEE TRANSMITTAL for FY 1999

Patent fees are subject to annual revision.  
Small Entity payments must be supported by a small entity statement.  
otherwise large entity fees must be paid. See Forms PTO/SB/09-12.

**TOTAL AMOUNT OF PAYMENT** (\$)

*Complete if Known*

Application Number	CIP & F 09/197,124
Filing Date	APRIL 28, 1994
First Named Inventor	PACHTL
Examiner Name	M. FOELAK
Group / Art Unit	1711
Attorney Docket No.	OB 003-JP-3

**METHOD OF PAYMENT** (check one)

1.  The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number  
Deposit Account Name

15-0680

CRSC HELN

Charge Any Additional Fee Required Under  
37 CFR 1.16 and 1.17

2.  Payment Enclosed:  
 Check     Money Order     Other

**FEE CALCULATION**

**1. BASIC FILING FEE**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 760	201 380	Utility filing fee	760
106 310	206 155	Design filing fee	
107 480	207 240	Plant filing fee	
108 760	208 380	Reissue filing fee	
114 150	214 75	Provisional filing fee	

**SUBTOTAL (1)** (\$ 760)

**2. EXTRA CLAIM FEES**

Total Claims	20**	-20**	=	X	Fee from below	Fee Paid
Independent Claims	3	- 3**	=	X		
Multiple Dependent						

\*\*or number previously paid, if greater; For Reissues, see below

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 78	202 39	Independent claims in excess of 3
104 260	204 130	Multiple dependent claim, if not paid
109 78	209 39	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2)** (\$ 0)

**3. ADDITIONAL FEES**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	- 0 -
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 380	216 190	Extension for reply within second month	
117 870	217 435	Extension for reply within third month	
118 1,360	218 680	Extension for reply within fourth month	
128 1,850	228 925	Extension for reply within fifth month	
119 300	219 150	Notice of Appeal	
120 300	220 150	Filing a brief in support of an appeal	
121 260	221 130	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,210	241 605	Petition to revive - unintentional	
142 1,210	242 605	Utility issue fee (or reissue)	
143 430	243 215	Design issue fee	
144 580	244 290	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Petitions related to provisional applications	
126 240	126 240	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 760	246 380	Filing a submission after final rejection (37 CFR 1.129(a))	
149 760	249 380	For each additional invention to be examined (37 CFR 1.129(b))	

Other fee (specify) \_\_\_\_\_

Other fee (specify) \_\_\_\_\_

**SUBTOTAL (3)** (\$ 760.00)

Reduced by Basic Filing Fee Paid

*Complete if applicable*

Submitted By	Reg. Number
Typed or Printed Name	33,085
Signature	Deposit Account User ID

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.